



**INITIATION PLAN
FOR A GEF PROJECT PREPARATION GRANT (PPG) OR
PROGRAMME COORDINATION BUDGET (PCB)**

Country: Belize

Relevant UNDAF Outcome(s) and Indicator(s):

- *By 2017, public policies and development processes are mainstreamed with cross-cutting environmental, disaster risk reduction and climate change dimensions.*
- *Outcome indicator: Green House Gas per GDP emission; Prevalent Vulnerability Index; Environmental Performance Index.*

Relevant CP/CPAP Outcome(s) and Indicator (s):

- National Policy and institutional framework s strengthened allowing for the sustainable management of Belize’s natural resource base

Relevant UNDP Strategic Plan 2008-2011 Key Result Area:

- Related Strategic Plan focus areas: Environment and sustainable development-mainstreaming Environment and Energy

Programme Period: 2013 - 2017 Programme Component: POPS PPG Title PPG for a Medium-Size Project, Belize Chemicals and Waste Management Programme ATLAS Project ID: 00073015 PIMS Project ID: 00085972 Duration: March 2013 – October 2013 Management Arrangement: NEX	Total budget: US\$100,000 Allocated resources: <ul style="list-style-type: none"> • Government US\$50,000 • GEF US\$50,000
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AGREED BY UNDP RESIDENT REPRESENTATIVE / UNDP DIRECTOR:

Mr. Roberto Valent
Resident Representative

Signature

Date: (Month, day, year)
04 / 08 / 2013

Brief Description of Initiation Plan

The project and the activities proposed are consistent with the Chemicals Focal Area Strategy, whose objective is “To promote the sound management of chemicals throughout their life-cycle in ways that lead to the minimization of significant adverse effects on human health and the global environment”.

The Proposed initiative responds directly to Objective 1 and 3 identified for Chemicals under GEF-5:

- (1) Phase out POPs and reduce POPs releases;
- (3) Pilot sound chemicals management and mercury reduction.

The national treatment of POPs and other persisting toxic substances operates to reduce exposure of human and wildlife, significantly reducing the adverse effect on human health and the global environment.

The PPG will start in March 2013 and last until October 2013. The major output of the PPG will be the full-sized project document, which will be attained through implementing five main activities:

1. Definition of needs and strategies for institutional strengthening.
2. Definition of needs and strategies for improvements to regulatory and policy framework including enforcement in relation to Belize’s Chemical and Waste Management.
3. Developing methodology and general principles for sustainable Chemical and Waste Management.
4. Development of an appropriate project management structure as well as a Monitoring and Evaluation (M&E) plan.
5. Project Co-finance scheme Project definition.

The tasks described under the PPG will be conducted by a team of national and international consultants. The consultant team will jointly work to design the full size project proposal, while the UNDP CO will facilitate and monitor this process in accordance with the agreed time schedule to be completed by October 31st 2013.

GEF PPG/PCB approved grant document and TBWP



PROJECT PREPARATION GRANT (PPG)

PROJECT TYPE: Medium-sized Project

TYPE OF TRUST FUND: GEF Trust Fund

Submission date: 01/14/2013

GEF PROJECT ID: 5094

GEF AGENCY PROJECT ID: 5158

COUNTRY(IES): Belize

PROJECT TITLE: Belize Chemicals And Waste Management Programme

GEF AGENCY(IES): UNDP, (select), (select)

GEF FOCAL AREA(s): Persistent Organic Pollutants

A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	03/01/2013
Completion date of PPG	10/01/2013

B. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

Describe the PPG activities and justifications:

1. Definition of needs and strategies for institutional strengthening

Building on the analyses carried out during the preparation of the National Implementation Plan (NIP), a recent revision of the National Chemical Profile, carried out as part of the SAICM initiative in Belize and other results obtained to date, PPG funds will be used to carry out detailed evaluations of the main institutional bottlenecks which currently limits the environmentally sound management of Belize's Chemicals and Waste. It will also serve to define a detailed capacity building strategy.

2. Definition of needs and strategies for improvements to regulatory and policy framework including enforcement in relation to Belize's Chemicals and Waste Management.

Taking advantage of previous analyses carried out through the NIP preparation, PPG resources will be used to develop specific recommendations of regulatory and policy instruments that need to be developed or modified in order to ensure the effective execution of the project, together with strategies for achieving their development or modification in association with other relevant institutional stakeholders.

3. Develop methodology and general principles for a sustainable Chemicals and Waste Management.

Building on the analysis carried out through the NIP preparation, the PPG will finance an analysis on the current scheme for Chemicals and Waste management and provide suggestions and recommendations about how it can improved in the future in order to lower emissions of POPs.

This will set the stage for additional work in this area during the Medium Size Project execution.

4. Development of M&E Strategy

The PPG will finance the definition of procedures and indicators for monitoring project implementation and impacts, and demonstrate the effect the expected reduced POPs emissions will have on human health and the environment. Particular attention will be paid to the identification of capacity building needs, in order to ensure that monitoring procedures are applied on a permanent basis which at the same time is responsive to changing needs and threats; and to the definition of objectively verifiable and practical indicators which are relevant to the outcomes and objectives of the project and of the National Implementation Plan.

5. Project Co-finance scheme Project definition

The PPG activities will include reaching out to Stakeholders as co-financing entities for the project implementation. The activities will include meetings and other contacts assisting the Project stakeholders to determine their participation and co-financing needs for achieving the global and national objectives of the project.

The PPG will finance the definition of the details of practical and administrative aspects of project design, allowing the formulation of a Project Document, including: i) barrier analyses, based on consultant reports and a multi-stakeholder workshop; ii) a logical framework, based on a multi-stakeholder workshop and the recommendations of consultancy studies, including indicators and quantified targets, together with baseline values supplied by thematic consultants; iii) a participation strategy, iv) an analysis of the programmatic baseline; v) a project budget and work plan in standard UNDP and GEF format; vi) a monitoring and evaluation strategy; vii) a learning and replication strategy and viii) text and supportive technical analyses detailing the justification and strategies of the project.

All tasks would be limited to what is absolutely necessary for the preparation of the MSP

List of Proposed Project Preparation Activities	Output of the PPG Activities	Trust Fund	Grant Amount (a)	Co-financing (b)	Total c = a + b
Definition of needs and strategies for institutional strengthening	Institutional strengthening strategy	GEF TF	10,000	10,000	20,000
Definition of needs and strategies for improvements to regulatory and policy framework including enforcement.	Regulatory and legal improvement strategy	GEF TF	10,000	10,000	20,000
Develop methodology and general principles for a sustainable chemicals and waste management.	Improved methodology and principles for sustainable chemicals and waste management.	GEF TF	10,000	10,000	20,000

Development M&E Strategy	M&E plan for Full Sized Project	GEF TF	8,000	10,000	18,000
Co-finance scheme Project definition	Co-finance commitments project documentation	GEF TF	12,000	10,000	22,000
		(select)			
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
Total Project Preparation Financing			50,000	50,000	100,000

C. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)

	Project Preparation	Agency Fee
Grant Amount	50,000	4,750
Co-financing	50,000	
Total	100,000	4,750

D. PPG AMOUNT REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)¹

Trust Fund	GEF Agency	Focal Area	Country Name/ Global	(in \$)		
				PPG (a)	Agency Fee (b)	Total c = a + b
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
Total PPG Amount				0	0	0

¹ No need to provide information for this table if it is a single focal area, single country and single GEF Agency project.


E. PPG BUDGET

Cost Items	Total Estimated Person Weeks for Grant (PW)	Grant Amount (\$)	Co-financing (\$)	Total(\$)
Local consultants *	40.00	20,000		20,000
International consultants*	5.00	20,000		20,000
Travel		5,000		5,000
Workshops and stakeholder consultation		5,000	15,000	20,000
Government personnel			35,000	35,000
				0
Total PPG Budget		50,000	50,000	100,000

* Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information. This table is the sum of all local and international consultants presented in Annex A.

F. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF LDCF/SCCF Trust Fund criteria for project identification and preparation.

Agency Coordinator, Agency Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Adriana Dinu Officer in Charge UNDP-GEF		2/13/2013	Dr. Suely Carvalho	1-212-906 6687	Suely.carvalho@undp.org

Annex A

Consultants Financed by the Project Preparation Grant (PPG)

Type of Consultant	Position / Titles	\$/ Person Week ¹	Estimated PWs ²	Tasks to be Performed
Local	National Expert(S)	1000	20.00	<p>A) Detailed evaluation of main institutional bottlenecks which present obstacles to effective implementation of the NIP on POPs</p> <p>B) Define detailed strategies for the development of institutional capacities</p> <p>C) Develop cooperation strategies between key ministries and municipalities for development and implement UPOPs release action.</p> <p>D) Define strategies for the modification of regulatory and policy framework on POPs.</p> <p>E) Definition of mechanisms, capacities and procedures required for ensuring the monitoring of stocks and emissions (leaks) of POPs as well as their impacts on human health and the environment.</p> <p>F) Preparation of draft methodology and general principles for improving the management of Chemicals and Waste in Belize.</p> <p>G) Develop relevant sections of the GEF project documentation for CEO endorsement.</p> <p>All tasks will be limited to what is absolutely necessary for the development of the MSP.</p>
Local				
Local				
(Select)				
(Select)				
(Select)				
International	Environmental Specialist	4000	5.00	<p>A) Project Scoping and definition</p> <p>B) Review and structure existing data and methodologies for development of key outputs; site-specific indicators for baseline studies as well as indicator monitoring strategy for project benefits;</p> <p>C) Define how databases and technical analyses can be appropriately adapted to the scope,</p>

				<p>scale and needs of project stakeholders and implementer; D) Deliver overall orientation to PPG team in relation to GEF logic and information requirements; E) Ensure that proposed project activities are in line with current GEF strategies and priorities. F) Develop final project log frame matrix in consultation with stakeholders G) Detailed formulation of incremental cost matrices; H) Final review and definition of strategic work plan, and cost-efficiency analysis</p>
(Select)				

¹ Provide dollar amount per person week.

² Provide person weeks needed to carry out the task

TBWP

Total Budget and Work Plan

Award ID:	00073015
Award Title:	Belize Chemicals And Waste Management Programme
Business Unit:	BLZ10
Project Title:	Belize Chemicals And Waste Management Programme
Project ID:	00085972 / PIMS No. 5158
Implementing Partner (Executing Agency)	UNDP for the PPG phase

GEF Outcome/Atlas Activity	Responsible Party/Implementing Agent	Fund ID	Donor Name	Atlas Budgetary Account Code	ATLAS Budget Description	Amount 2013 (USD)	Total (USD)
OUTCOME 1: Preparation of the Project Document	UNDP	62000	GEF 10003	71200	International Consultants	\$20,000	\$20,000
				71300	Local Consultants	\$20,000	\$20,000
				71600	Travel	\$5,000	\$5,000
				75700	Workshops & consultation	\$5,000	\$5,000
PROJECT TOTAL						\$50,000	\$50,000

Summary of Funds:	Donor	Amount (USD)
	GEF	50,000
	TOTAL	\$50,000

